



ODEON

Odeon Capital Group LLC Hits Key Milestones in Growth and Volume

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NEW YORK - (Business Wire) Odeon Capital Group LLC ("Odeon"), the independent fixed income broker dealer with investment banking and research services, today marked the one-year milestone since founding the firm with a snapshot of successes over the last 12 months, including the steady addition of seasoned professional staff and impressive achievements, including trading over \$2.5 billion in corporate, ABS, RMBS, Auction Rate Securities and other securities.

"Our success over the last year is the direct result of our ability to attract and retain experienced, seasoned professional staff at a time of continuing upheaval in the financial services industry," said Evan Schwartzberg, Managing Partner of Odeon. "Our long-range objective at Odeon is to provide gold-standard trading execution, investment banking and research support delivered from deep industry experience, and a level of service and attention that only a boutique can achieve. A year later we're well on our way to realizing that goal."

Since its founding in 2009, Odeon has built an extensive expertise in both traditional and esoteric asset classes, discretely executing both large and small-volume transactions. Odeon's research team has successfully generated ideas and supported sales and trading with a focus on their institutional customer's needs for customized information covering esoteric and distressed. In its first year of operation:

- The firm has quickly grown to approximately 40 professionals.
- Its Sales & Trading business has executed over \$2.5 billion volume of trades.
- Odeon's Investment Banking division has executed on and developed a transaction pipeline focused on growth and middle-market companies across a variety of industries, as principals as well as agents.
- Odeon's corporate Research arm continues to add coverage and is on track to reach its goal of 20 to 30 issuers over the coming months. Odeon also offers customized analytics and research for structured products including RMBS, CDOs, CMBS, ARS and Student Loans.

Odeon's senior team comprises seasoned industry professionals, including:

- Evan Schwartzberg, Head of Sales and Trading at Odeon. Evan was previously the head of special situations at Further Lane Securities and former co-manager and head of sales & trading at J Giordano Securities Group, an institutional broker dealer.
- Mathew Van Alstyne, Head of Research at Odeon. Mat was previously a senior research analyst for Ore Hill Partners LLC, a New York based investment advisor and has buy-side experience at Deutsche Bank, Debt Traders and the Royal Bank of Scotland.
- Andrew L. Feldschreiber, Managing Director and Head of Investment Banking. Previously Andrew was the Founder and Managing Member of Alfco Capital Management, a Senior Research Analyst at Langley Capital. Andrew began his career

at Goldman Sachs & Co.

- Amit Khurana, Managing Director and Head of the CMBS/ABS desk. Amit has held positions in the Special Opportunities Groups of Goldman Sachs, Highbridge Capital and Global Structured Solutions Group of Citigroup.
- Steven Miller, Managing Director and Head of the RMBS desk, Steven has over 30 years of experience in the financial industry at firms including Greenwich Capital, CS First Boston, Flagstone Securities, EF Hutton and most recently Sterne Agee.
- David Jordan, Managing Director and Head of Equities. David has prior experience at Further Lane Asset Management where he led equity trading, J. Giordano Securities Group and Susquehanna International Group.

About Odeon Capital Group LLC

Odeon Capital Group LLC (Member FINRA, SIPC and MSRB) is an independent full-service broker dealer and investment banking firm providing advice and execution on fundamental value and catalyst-driven situations through sales & trading, research and investment banking. Odeon is a full-service boutique broker/dealer to institutional clients and offers transparent, timely and complete services from origination through execution of experienced service that adds value in all markets. Odeon Capital Group LLC is headquartered in New York City. Visit <http://www.odeoncap.com> for more information.

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